PUBLIC HEARING AND PUBLIC COMMENT PERIOD

Kentucky Department of Financial Institutions

808 KAR 10:501 Required forms, fees, filing procedures, and recordkeeping requirements for persons operating pursuant to KRS 292.411 and KRS 292.412, the Kentucky Intrastate

Crowdfunding Exemption; and Notice filing requirements for federal crowdfunding offerings.

A public hearing on this administrative regulation shall be held on November 20, 2023

9:00 AM, at 500 Mero Street, Frankfort KY 40601. Individuals interested in being h

ng, of their intent to hearing shall notify this agency in writing by five workdays prior to the hear

attend. If no notification of intent to attend the hearing was received by that date he hearing may

be cancelled. A transcript of the public hearing will not be made in less written request for a

transcript is made.

If you do not wish to be heard at the public hearing, you may submit written comments to

the Department on the proposed administrative regulation. Written comments shall be accepted

through November 30, 2023. Send written notification of intent to be heard at the public hearing

or written comments on the proposed administrative regulation to the contact persons.

Contact Person: Gary Stephens

Title:

sst. General Counsel

Address:

00 Mero Street, 2 SW 19

Frankfort, K.Y 40601

Phone:

502-782-9046

Fax:

502=73-8787

Ema

ary.Stephens@ky.gov

Contact Person: Marni Gibson

Title:

Deputy Commissioner, Dept. of Financial Institutions

Address:

500 Mero Street, 2SW19

Frankfort, KY 40601

Phone:

502-782-9053

Fax:

502-573-8787

Email:

Marni.Gibson@ky.gov

9